

LJ/LIC/EQ2/1011

Dear Sir/Madam,

EQUINE SWIMMING POOL - CERTIFICATE OF APPROVAL

Please find enclosed an application form for a Certificate of Approval for an Equine Swimming Pool under Rule (A)97 of the British Horseracing Authority Rules of Racing together with guidance Notes for Proprietors.

If appropriate, please consult with this office at an early stage in the planning of your facilities so that any potential problems can be discussed. An early inspection with representatives from our Integrity Services Department and Veterinary Department may be useful in this respect.

In the case of a first application, the total fee laid down by the British Horseracing Authority Board is £305.50. This fee includes Registration (£80.08), inspections by a Veterinary Officer and an Inspector attached to our Integrity Services Department (£174.50) and V.A.T. (£50.92).

Should you require any further information, please do not hesitate to contact me: Tel: 020 7152 0145 – Fax: 020 7152 0141 – E-mail: ljones@britishhorseracing.com

Yours sincerely,



Lucy Jones
Licensing Assistant

Direct Line: 020 7152 0145
Direct Fax: 020 7152 0141
Email: ljones@britishhorseracing.com

OFFICE USE 1111	
Rec:	
C:	
Prev:	
Insp:	
G:	



Licensing Department,
75 High Holborn, London, WC1V 6LS

EQUINE SWIMMING POOL

Application form for a Certificate of Approval under Rule (A)97 of the Rules of Racing for the period 1st January to 31st December, 2011.

1. Full designation or title of Equine Swimming Pool.....

.....

2. Full Postal Address:

.....

..... Postcode:

3. Telephone No: Fax No:

4. Email Address: Website:

5. Name of Proprietors:

Surname

All Forenames

Date of Birth

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6. If the Proprietor is a body corporate, the names of its Directors and Company Secretary:

Surname

All Forenames

Date of Birth

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6. **Are you engaged in any professional, business or occupation?**

If so, please state:

- (a) Profession, Title, Business or Occupation
- (b) Name and address of your Business or your employers
- (c) Position in Firm

- (a)
- (b)
- (c)

New applicants only

7. Full details of your equine experience and past employment with names and addresses of employers and relevant dates:

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8. (a) Particulars of any previous licences or permits held either in this country or from any other Turf Authority with relevant dates:

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(b) **Have you ever had any disqualification or restriction imposed on you by a turf authority?** (If the answer is Yes, give details saying when and where)

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.....

9. **Have you ever been declared bankrupt or had any criminal convictions (other than a motoring offence) recorded against you?** (If so, please state details)

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.....

10. I hereby apply to the British Horseracing Authority for a Certificate of Approval for the

.....
(write here full designation or title as in 1 above)

under the provision of Rule (A)97 of the Rules of Racing, as set out in Appendix A (attached). I undertake should such approval be granted to comply also with the criterion and guidelines, as set out in Appendix B to this form as amended from time to time, and to allow such inspection and the taking of samples or specimens as provided for in such criterion and guidelines.

I acknowledge that the British Horseracing Authority carries out its inspection of the Equine Swimming Pool for the purposes of assessing the adequacy of the security and hygiene arrangements and that the granting of a Certificate of Approval by the British Horseracing Authority does not constitute any acknowledgement or indication by the British Horseracing Authority that it considers I have complied with the requirements of Health and Safety legislation or any other statutory requirements. I acknowledge that compliance with such legislation is a matter wholly within my own responsibility.

I agree to be bound in all respects by the Rules of Racing in force from time to time.

I have ensured that my fellow proprietor(s)/director(s) and all staff employed by me/us have signed the attached declaration.

DATA PROTECTION

It is agreed that any information provided by me may be held by the British Horseracing Authority, the Jockey Club or Weatherbys Group Ltd in their computer records, and that it may be properly disclosed to other agencies registered to receive such information in connection with the management, regulation and integrity of horseracing.

The British Horseracing Authority has the opportunity to use its address list as a source of revenue for the benefit of the racing industry by undertaking mailings on behalf of selected companies, individual addresses are not divulged. Please tick here if you do not wish to receive such mailings

Signed: **Date:**
(Proprietor)

The British Horseracing Authority undertakes to consider this application in accordance with and subject to the Rules of Racing and criteria and procedures approved from time to time by the British Horseracing Authority.

A person who is not a party to this application has no right under the Contracts (Rights to Third Parties) Act 1999 to enforce any term of the agreement resulting from the application.

General Manual (A) PART 9 - MISCELLANEOUS

97. Equine swimming pools: requirement for certificate of approval

97.1 No Person may operate an equine swimming pool unless he holds a certificate of approval granted by the Authority.

97.2 An initial application for a certificate of approval, and an application for its renewal, must be made using such form as may be prescribed by the Authority.

97.3 A certificate of approval expires at the end of the period of 12 months starting with the date on which it is granted or renewed.

97.4 An application under Paragraph 97.2 must be sent to the Authority's Office together with

97.4.1 the name of the pool's proprietors,

97.4.2 if any proprietor is a body corporate, the names of its directors,

97.4.3 a list of all Persons employed by the proprietors at the pool, and

97.4.4 such fee as the Authority may from time to time determine,

and any changes to the Person listed in Paragraphs 97.4.1 to 97.4.3 must be notified to the Authority before the end of the period of 14 days starting with the day on which the change takes place.

97.5 The fees payable shall be such as the Authority may from time to time determine.

97.6 The Authority may, if it considers it appropriate to do so

97.6.1 either on an initial application or subsequently, inspect the applicant's

establishment at such time and with such frequency as it considers appropriate, and

97.6.2 grant or renew a certificate of approval subject to such conditions or restrictions as it consider appropriate.

97.7 A certificate of approval shall immediately cease to be valid if

97.7.1 the certificate expires and is not renewed,

97.7.2 any of the listed Persons in Paragraphs 97.4.1 to 97.4.3 becomes a Disqualified Person,

97.7.3 it appears to the Authority that a listed Person was already a Disqualified Person at the time the application for the grant or renewal of the certificate was made,

97.7.4 there has been any failure to notify the Authority under Paragraph 97.4 of any changes to a listed Person, or

97.7.5 the Authority gives a direction under Paragraph 97.8.

97.8 The Authority may direct that a certificate of approval shall cease to be valid where

97.8.1 any action, proceedings or arrangement under any insolvency or bankruptcy law is taken against the proprietor or any of its directors, or

97.8.2 in such other circumstances as the Authority considers appropriate.

CRITERIA AND GUIDELINES FOR CERTIFICATES OF APPROVAL

The Licensing Committee gives notice that applications for Certificates of Approval will be considered on their individual merits but within the framework of the criteria and guidelines set out below:

1. Security

All boxes used by racehorses in training whether they are resident for a single night or a more prolonged period must be segregated from boxes used by any other horses. Boxes should be a minimum size of 12' x 12' (3.6m x 3.6m) although boxes not used for overnight stabling may be a minimum of 100 square feet. Ideally, all boxes should form a separate block but this may not be possible where stabling consists of a single large yard. In such case they must be isolated by means of a wire link fence or other suitable barricade. No horse or person should be admitted within this compound without the authority of the proprietor. A separate feed house must be provided within this compound for the exclusive use of horses in training. A responsible person must live adjacent to this compound. A means of lighting this compound by night and use of a guard dog are recommended. Obviously, illegal entry into this compound must be rendered as difficult as possible. Great care must be taken in the feeding of manufactured feed stuffs such as horse nuts, additives etc. The manufacturers and your vet should be consulted to ensure they do not contain any prohibited substance, as well as the trainer of the horse in question. The names of all staff that work with horses in training must be entered on a form which will be provided and each individual must sign this form agreeing to be bound by the British Horseracing Authority Rules of Racing in force from time to time.

2. Hygiene

The risk of infection is very high and, at present, due to lack of research and experience, it is not possible to lay down specific instructions. The following instructions have however been prepared following consultation with Veterinarians of the Animal Health Division of the Ministry of Agriculture and Fisheries and Food, and are what would be expected to be in force at an approved pool:

The whole premises, which must be suitable for racehorses, must be kept in a clean and hygienic condition and every reasonable precaution must be taken to prevent the spread of infectious/contagious diseases into, within and from the premises. It is advisable that a written declaration is obtained from the owner/trainer of a horse passing through your care, stating that it is clear of infectious/contagious diseases. The operators of the pool should also inspect all horses passing through their care.

Adequate cleaning and disinfection of the pool, its surrounds and the preparation of drying-off boxes is of paramount importance.

- a) Looseboxes and stalls used for preparation and drying-off should be cleaned and hosed out after each occupation. All those in use should be disinfected at least once daily with a suitable disinfectant applied preferably by spray.

- b) All looseboxes and stalls must be thoroughly cleaned, by steam cleaning or pressure hosing all surfaces, and chemically disinfected:
- i. To an organised and documented programme that will guarantee a maximum elapsed period of three months between each treatment of any loosebox or stall.
 - ii. When a loosebox or stall has been occupied by a horse suffering from an infectious or contagious disease.
 - iii. When a loosebox or stall has been occupied by a horse coming from abroad or any horse not in training.

The pool must be maintained in a clean and hygienic state. The water filtration system must be efficient to ensure that the water remains clear at all times. All floating faeces and other debris must be scooped up immediately and disposed of safely.

The most popular method of swimming pool disinfection is by chemical means and is likely to be by chlorination. Whatever system of chlorination is used, frequent checking must be carried out to ensure that a suitable and adequate level of chlorine is maintained. This will mean at least twice daily checking for lightly used pools and more frequent checking for pools in continuous use.

The level of chlorine in a pool should be boosted at the end of each day's use and it should be checked again before use next day to ensure that it is neither too low nor excessively high following the previous day's booster.

Periodically a complete change of the water will be necessary but the frequency of this will depend on the number and condition of the horse using the pool and the efficiency of the filtration system.

Appropriate measures must be taken to control insects and vermin on the premises and suitable precautions must be taken to prevent contamination and deterioration of foodstuffs and litter.

No horse suffering from, or in contact with, an infectious or contagious disease should be allowed to enter the premises (the owner's/trainer's written declaration should cover this - see earlier). Please note that horses affected with any form of skin disease, and those suffering from infections of the respiratory, intestinal and genito-urinary systems can be especially dangerous.

Great care must be taken to ensure that all equipment used is adequately cleaned and disinfected after use on each horse.

If it is discovered that a horse suffering from an infectious/contagious disease has entered the premises, consideration must be given to closure of the whole or part of the establishment until adequate cleaning and disinfections have been carried out.

To facilitate tracing of contacts in the event of outbreak of disease, it is essential that daily records be maintained for all horses passing through or using the premises.

The proprietor is strongly advised to ensure that he has adequate insurance cover against possible claims for injury or disease affecting horses using the premises.

The proprietor must agree to allow inspection of the premises by British Horseracing Authority Officials at any reasonable hour and to permit the taking of samples or specimens from the animals and premises for laboratory examinations whenever considered necessary.

Until permission is withdrawn by the British Horseracing Authority, pools registered may describe themselves as British Horseracing Authority inspected and operated under a Code of Practice recommended by the British Horseracing Authority.

3. Vaccination

The British Horseracing Authority wish to draw to the attention of all proprietors of Equine Swimming Pools to Rule (E)18 of the Rules of Racing in regard to vaccination against equine influenza on property owned, used or controlled by the Managing Executive of a Licensed Racecourse. It is suggested that it would be in the interests of the proprietors of Equine Swimming Pools, and of their clients, if only horses that were similarly vaccinated were allowed on their premises.